

## MODIFICATION REQUEST COVER SHEET

<b>Name of Filer</b>	<b>DEAN LUM</b>
<b>Reporting Period</b>	<input checked="" type="checkbox"/> Annual report – covering calendar years 2005 to 2018 <input type="checkbox"/> Candidate/Appointee report
<b>Type of Request</b>	<input checked="" type="checkbox"/> New <input type="checkbox"/> Renewal with No Change <input type="checkbox"/> Full Commission Approval <input type="checkbox"/> Renewal with Change
<b>Office Held/Sought &amp; Term</b>	Judge, King County Superior Court Current term expires December 31, 2020
<b>Application Rule(s)</b>	<input type="checkbox"/> Income & Ownership Interest: WAC 390-28-100(b) <input checked="" type="checkbox"/> Personal Residence: <a href="#">WAC 390-28-100(d)</a> <input type="checkbox"/> Attorney: WAC 390-28-100(1)(e)(i) <input type="checkbox"/> Judge / Judicial Candidate: WAC 390-28-100(1)(e)(ii) <input type="checkbox"/> Spousal: WAC 390-28-100(1)(e)(iv) <input type="checkbox"/> Other: WAC 390-28-100 (1)(a)(c)
<b>Explanation of Rule(s)</b>	<p><b>Personal residence - Real property.</b> Regarding reporting the information otherwise required by RCW <a href="#">42.17A.710</a> (1)(h) through (k):</p> <p>(i) Under WAC <a href="#">390-24-200</a>, the filer shall list the street address of each parcel, the assessor's parcel number, the abbreviated legal description appearing on property tax statements, or the complete legal description. Each property description shall be followed by the name of the county in which the property is located.</p> <p>(ii) No modification will be necessary if the filer describes the real property using one of the alternatives in WAC <a href="#">390-24-200</a>, plus the name of the county.</p> <p>(iii) A modification will be required if the filer seeks some other means to describe reportable real property including the personal residence of the filer. The commission may consider a modification, for example, when the filer or his or her immediate family member has received a threat, has a no contact order, or presents a similar personal safety concern.</p> <p>A prospective modification to allow nondisclosure of a residential address may be granted if the applicant or an immediate family member has received a threat, been issued a no contact order or presents a similar personal safety concern.</p>

<p><b>Supporting Documents (attached)</b></p>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Current F-1 (April 10, 2019)</li> <li><input checked="" type="checkbox"/> F-1a (March 21, 2018)</li> <li><input checked="" type="checkbox"/> F-1 (March 27, 2017)</li> <li><input checked="" type="checkbox"/> F-1 (April 14, 2016)</li> <li><input checked="" type="checkbox"/> F-1a (April 6, 2015)</li> <li><input checked="" type="checkbox"/> F-1 (March 25, 2014)</li> <li><input checked="" type="checkbox"/> F-1a (April 3, 2013)</li> <li><input checked="" type="checkbox"/> F-1 (April 9, 2012)</li> <li><input checked="" type="checkbox"/> F-1 (April 19, 2011)</li> <li><input checked="" type="checkbox"/> F-1a (April 12, 2010)</li> <li><input checked="" type="checkbox"/> F-1 (April 14, 2009)</li> <li><input checked="" type="checkbox"/> F-1 (April 10, 2008)</li> <li><input checked="" type="checkbox"/> F-1 (March 24, 2007)</li> <li><input checked="" type="checkbox"/> F-1 (March 29, 2006)</li> <li><input checked="" type="checkbox"/> Letter</li> <li><input type="checkbox"/> Prior order (if renewal)</li> </ul>
<p><b>Reason(s) for Modification (as stated by filer)</b></p>	<ul style="list-style-type: none"> <li>• Judge Lum is requesting a reporting modification that would exempt him from reporting real estate, including street address, parcel number, or legal description, for his residential address on his Personal Financial Affairs Statement covering calendar year 2018.</li> <li>• Judge Lum is also requesting a retroactive modification that would exempt him from reporting real estate, including street address, parcel number, or legal description, for his residential address on each F-1 report filed as an elected official covering calendar years 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016 and 2017.</li> <li>• Judge Lum stated that he is requesting a modification not only based on the number of generalized threats he has received over a long career as a King County Deputy Prosecuting Attorney (Criminal Division) and Superior Court Judge (including tenure presiding over bail/release calendars as Chief MRCJ Judge and Chief Criminal Judge), but specific threats on at least three occasions concerning enough to involve the King County Sheriff's Detectives.</li> <li>• Judge Lum cited two specific threats, one case involved criminal charges filed against the individual and a second case from late 2017 involving a defendant attempting to climb over the bench to assault him and the defendant had to be restrained by five corrections officers. The detectives advised Judge Lum and several other superior court judges who had dealt with this same individual the they had reasons to be concerned.</li> </ul>

<b>Other Issues</b>	Judge Lum disclosed creditor information, payment terms, security given and mortgage amounts for his personal residence for each reporting period requested in the current and retroactive modification request.
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