## **MODIFICATION REQUEST COVER SHEET**

Name of Filer	DANIEL J. SHIH
Reporting Period	<ul> <li>□ Annual report</li> <li>⊠ Candidate – February 2015 to February 2016</li> </ul>
Type of Request	<ul> <li>☑ New</li> <li>□ Renewal with No Change</li> <li>□ Renewal with Change</li> </ul>
Office Held/Sought & Term	Candidate for State Representative, 43 <sup>rd</sup> Legislative District If elected term would begin January 2017
Application Rule(s)	<ul> <li>□ Income &amp; Ownership Interest: WAC 390-28-100(b)</li> <li>□ Personal Residence: WAC 390-28-100(d)</li> <li>☑ Attorney: WAC 390-28-100(1)(e)(i))</li> <li>□ Judge / Judicial Candidate: WAC 390-28-100(1)(e)(ii))</li> <li>□ Spousal: WAC 390-28-100(1)(e)(iv))</li> <li>□ Other: WAC 390-28-100(1)(a)(c)</li> </ul>
Explanation of Rule	Lawyers and law firms (when applicant is an incumbent or candidate and acts alone or as part of a governing body, board, or commission). An applicant may be allowed to satisfy the reporting requirements of RCW <u>42.17A.710</u> (1)(g)(ii) and WAC <u>390-24-020</u> by disclosing reportable clients from whom compensation has been paid in excess of the reporting threshold as follows: (A) The names of the business clients for whom the applicant has done legal work; (B) Other clients of the law firm whose interests are significantly affected by the applicant's actions as an elected or appointed official or whose actions will be affected by the applicant's action should the applicant be elected whose identities become known to the applicant through any means; (C) The names of the clients of the law firm who are listed in Martindale Hubbell, the firm's resume, web site, or similar promotional materials; and (D) Governmental clients that have done business with the law firm. An applicant may also be required to disclose all business customers from whom compensation in excess of the reporting threshold has been received whose identities are publicized or referenced in documents open for public inspection at the courts, in administrative hearings, at proceedings conducted by public agencies, or are a matter of public knowledge in other similar public forums. Alternatively, the commission may require an applicant to report only those publicly identifiable customers of which the applicant is aware.
Supporting Documents (attached)	<ul> <li>Current F-1</li> <li>Modification Application</li> <li>Prior Order (if renewal)</li> </ul>
Reason(s) for Modification (as stated by filer)	<ul> <li>Mr. Shih is requesting a reporting modification that would exempt him from disclosing the business customers that paid \$12,000 in the previous 12 months to Susman Godfrey LLP, a law firm.</li> <li>Mr. Shih is an attorney with Susman Godfrey with a 0.40% ownership. He said the firm has offices in five cities with approximately 115 attorneys and 100 non-</li> </ul>

	attorney staff. He said he is not a managing partner or part of the firm's Executive Committee.
	<ul> <li>Mr. Shih stated that he does not perform any legal work for a majority of the firm's clients. He stated that if elected, his service in the Washington State Legislature would not affect most of the firm's clients since many of the clients are outside of Washington.</li> </ul>
	<ul> <li>Mr. Shih provided a list disclosing his clients, clients whose interests would be significantly affected by his actions if elected as a State Representative, clients who are identified in court files or other public sources, and the firm's governmental clients. (See Attachment Exhibit A)</li> </ul>
Staff Recommendations	Approve the requested reporting modification.

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## Washington State Public Disclosure Commission

Personal Financial Affairs Statement

Reporting Modification Application Questionnaire, Instructions And Certification

June 2015

DATE FILLD FL.



FEB 162016

### Application Questionnaire Instructions

The purpose of the Public Disclosure Act in chapter RCW 42.17A includes at RCW 42.17A.001(3):

"That the people shall be assured that the private financial dealings of their public officials, and of candidates for those offices, present no conflict of interest between the public trust and private interest."

The form for providing disclosures of financial affairs is the F-1 Personal Financial Affairs Statement. The Act also provides for a process to seek a modification or suspension of reporting some information. RCW 42.17A.110(10) states in part:

"After hearing, by order approved and ratified by a majority of the membership of the commission, [the commission is empowered to] suspend or modify any of the reporting requirements of this chapter in a particular case if it finds that literal application of this chapter works a **manifestly unreasonable hardship** and if it also finds that the suspension or modification will not **frustrate the purposes of the chapter...** 

... Any suspension or modification shall be only to the extent necessary to substantially relieve the hardship. The commission shall act to suspend or modify any reporting requirements only if it determines that facts exist that are clear and convincing proof of the findings required under this section." (Emphasis added).

Possible qualifications for modifications or suspensions (referred to collectively as "modifications") are found at RCW 42.17A.110(10) and WAC 390-28-100. Copies of these provisions and reporting requirements are on the PDC's website at <u>www.pdc.wa.gov</u> under "Laws and Rules." The *Personal Financial Affairs Statement Instruction Manual* is also available on the website, under "Filer Resources – Manuals and Brochures." The Commission has also adopted Interpretations addressing modifications for certain professions and situations, and those are also available under "Laws and Rules" then "Interpretations." Modifications, if granted by the Commission, cover only one reporting period. Another application must be made in the following years if you still need a modification.

PDC staff has implemented this application procedure for filers requesting an F-1 Reporting Modification from the Commission. This is designed to provide more uniform information to the Commission from filers seeking a modification, and to enable a quicker response to possible questions about a request from the Commission at the hearing. Please fill out this Application Questionnaire prior to having a modification request taken to the full Commission for consideration. The blanks in this document will expand to accommodate your answers. It is suggested that you review this entire Application Questionnaire first, before filling out your answers.

✓ If you are requesting a modification, whether new or a renewal of an earlier request, please:

- (1) Complete or review your filed Personal Financial Affairs Statement (PDC Form F- 1) including Supplemental attachments (<u>except</u> for the information for which you are seeking a modification – leave the relevant sections or lines blank on the F-1 form at this time);
- (2) Answer all questions (# 1 # 8) on this Application Questionnaire, unless otherwise directed below,
- (3) Confirm whether you authorize the PDC to use email may correspond with you about your request by email:
- (4) Sign the Certification if you do not intend to be present at the Commission hearing on your modification request, and
- (5) Return this Application Questionnaire to the PDC via e-mail, mail, fax or other delivery (and also send the original of the Certification to the PDC).
- Please note, however, that while this Application Questionnaire for filers seeking a modification can be returned to the PDC in several ways, <u>F-1 forms</u> cannot be filed by fax or e-mail. See filing instructions in the *Personal Financial Affairs Statement Instruction Manual.*

#### Other items to consider:

- <u>Filers for which a PDC Interpretation may apply.</u> As noted, the Commission has adopted Interpretations for specific filers that are requesting modifications. Those filers include attorneys, automobile dealers, judges and judicial candidates, and spouses of elected and appointed officials, and also include candidates for public office. If you qualify as a filer under an Interpretation, please review the applicable Interpretation and provide the information pursuant to the Interpretation as part of your F-1 or F-1 Supplement forms. Copies of the Interpretations are available on the PDC website at <u>www.pdc.wa.gov</u> under "Laws & Rules" then "Interpretations."
- <u>Competitive disadvantage</u>. If you are claiming a competitive disadvantage (in disclosing information), you must describe in detail the competitive environment in which the entity operates and explain how disclosure would likely affect the competitive position of the entity.
- Please carefully review your F-1 and/or F-1 Supplement to ensure each form is fully completed. Here are some commonly overlooked areas:
- Do you make the buy and sell decisions with regard to the IRA's, stocks and other securities listed as retirement or income generating assets in Section 3c of your F-1? If the answer is YES (if you control the buy and sell decisions) you must identify the <u>individual</u> securities or mutual funds held.
- Did you disclose all of your retirement accounts (i.e. IRA, 401 k, deferred compensation, PERS 1, 2, 3 or TRS or LEOFF, etc.)?
- Did you complete all of the questions in Section 5 of the F-1?

**Questions?** If you have questions, you may contact PDC staff at (360) 753-1111; 1-877-601-2828 (toll-free in Washington State). The PDC Fax number is: (360) 753-1112. E-mail: <u>pdc@pdc.wa.gov</u>. The PDC address is on the last page of this form (Certification).

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## **Application Questionnaire**

**Background Information** 

Filer Name: Daniel J. Shih

Filer Office Held or Sought: <u>Washington State House, 43rd LD, Position 1</u>

Date of Request: \_February 15, 2016

Period Covered by Request: February 16, 2015 - February 15, 2016

#### Questions

Please answer questions # 1 - # 8 below, unless:

- RESIDENTIAL ADDRESS. If you are seeking <u>only</u> nondisclosure of a residential address, answer # 1, # 4, # 6 and # 8.
- SPOUSAL SEPARATION. If you are seeking <u>only</u> nondisclosure of information related to your spouse based upon a recent or pending divorce or separation, or because it relates to a bona fide separate property agreement or other bona fide separate status, answer **# 1**, **# 4**, **# 7 and # 8**. A request for nondisclosure may be considered when such financial interest does not constitute a present or prospective source of income for you.



1. EMAIL AUTHORIZATION. Check the box below to give the PDC permission to provide future correspondence about your request – including hearing orders – to you by email.

X I authorize the PDC to provide future correspondence to me email rather than sending it through the U. S. mail.

Email address: me@danshih.com

2. **MODIFICATION REQUEST SUMMARY. Describe the general nature of the information you do not wish to disclose.** (Examples: financial interests where reporting the name would likely adversely affect the competitive position of an entity, customer lists of a business entity or sources of compensation/income for the entity, confidential relationships, information subject to bona fide separate property agreements, personal residential address, other).

I request a modification of the otherwise-applicable requirements for reporting sources of compensation for a law firm under the PDC's protocol for attorneys as expressed in PDC Interpretation No. 02-03 (amended March 12, 2012) (now codified at WAC 390-28-100). As WAC 390-28-100 provides, I am requesting permission to disclose only reportable business clients from whom compensation has been paid in excess of the reporting threshold in the following categories: (1) business clients for whom I have personally done legal work, (2) other clients of the law firm whose interests would be significantly affected by my actions as a Representative whose identities become known to me or of which I become aware by any means, (3) clients of the law firm who are listed on Martindale-Hubbell, the firm's website, or other promotional materials, and (4) governmental clients who have done business with the law firm.

I specifically request that the PDC find, as WAC 390-28-100 foresees, that it would create an undue hardship for me to report, in addition to the categories listed above, clients whose identities can be discovered through examination of documents open for public inspection of the courts, in administrative hearings, at proceedings conducted by public agencies, or that are a matter of public knowledge in other similar public forums. I do not routinely (or ever) review court filings across the country or similar documents for the names of our firm's clients, and it would be very time-consuming (and likely practically impossible) me to do so.

<u>I am requesting this modification for sources of compensation from February 16, 2015</u> <u>through February 15, 2016.</u>

- 3. UNREASONABLE HARDSHIP. Describe in detail the manifestly unreasonable hardship in disclosing the information. Please describe in detail the reasons why you believe disclosing the information would be a hardship. The reasons stated should address the issues such as those listed below. Please address those topics below that are relevant to your specific request. For example, if you are seeking nondisclosure related to an entity, for each entity, please:
  - Provide the name and description of the entity, business, union, association, not-forprofit, charitable organization, or other entity for which you are seeking a modification request from reporting the entity's disclosable customers/sources of compensation/income.

Susman Godfrey LLP

• Describe the size of the entity such as annual sales, number of customers or accounts, the number of employees, and other pertinent information.

Susman Godfrey LLP is a law firm with offices in five cities and approximately 115 attorneys and approximately 100 non-attorney staff.

• Describe how many business customers or other sources paying the entity more than \$12,000 would be subject to disclosure.

If the ordinarily applicable disclosure requirements applied, over 20 persons or entities would be disclosed for the reporting period.

• Describe if you have access to information about the entity's customer base or sources of compensation/income.

I can request our accounting department to compile this information.

• Describe if you are involved with the day-to-day operations of the entity.

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<u>I practice law with Susman Godfrey LLP, but I am not a managing partner of the firm, nor am I part of the firm's Executive Committee.</u>

• Describe if any of the entity's customers or sources of compensation/income are already listed in other public sources or publications including advertisements, or public records.

Yes, some of the firm's clients are identified in public records.

• Describe if any of the entity's customers or sources of compensation/income are already listed on a website.

Yes, some of the firm's clients are disclosed on the Susman Godfrey website. I have disclosed clients who paid Susman Godfrey amounts in excess of the reporting threshold for the relevant period who are also identified as clients on the Susman Godfrey website on the attached Exhibit A.

- If the entity has a website address, list it here: <u>www.susmangodfrey.com</u>
- If the entity's customers or sources of compensation/income are described elsewhere on the Internet, describe why you are seeking a modification (nondisclosure) for those customers or sources of compensation/income:

Yes, some of the firm's clients are described elsewhere on the Internet; I would expect that all clients described elsewhere on the Internet are also disclosed on the Susman Godfrey website, and I have disclosed the clients identified on the Susman Godfrey website who paid Susman Godfrey amounts in excess of the reporting threshold for the relevant period in the attached Exhibit A.

[*Note:* along with other information provided in the Application Questionnaire, Internet information regarding entities/sources of compensation/income may be reviewed by PDC staff and/or the Commission as part of the modification process.]

• Describe if the entity has the ability to sort its customer list or sources of compensation/income to identify those paying the entity more than \$12,000 during the reporting period.

<u>Yes</u>

• Describe if you disclosed all of the governmental customers or governmental sources of compensation/income that paid the entity more than \$12,000 in the reporting period.

<u>Yes</u>

• Indicate whether you have an ownership interest of 10% or more in the entity.

<u>No</u>

• Indicate whether your spouse's interest in an entity requires you to complete an F-1 Supplement for that entity.

<u>No</u>

• Describe other relevant information you believe the Commission should consider as to why it would be a manifestly unreasonable hardship if the information was required to be disclosed.

I do not perform any legal work for the overwhelming majority of the clients of Susman Godfrey LLP. I am not a managing partner of the firm, nor do I serve on the firm's Executive Committee. My service in the Washington State Legislature would not significantly affect the interests of many (perhaps most) of our firm's clients, particularly as many of our clients are outside of Washington state.

Point 3 of former PDC Interpretation 02-03 (now codified at WAC 390-28-100) states that the PDC may find it creates an unreasonable hardship to disclose reportable business clients when (i) their identities are referenced in documents open for public inspection, including documents in courts, (ii) their identities are disclosed in documents made available for public inspection in open public meetings, (iii) identities are public knowledge in other similar public forums. It may, in theory, be possible for me to find out whether particular clients have been disclosed as firm clients publicly in the various ways that PDC Interpretation 02-03 anticipates-for example, by examining court records or by querving each of my law partners individually about the particulars of specific engagements. But I respectfully submit that it would create an unreasonable hardship for me to go through this exercise: it would be enormously time-consuming and serve very little purpose when I have already disclosed all the clients whose relationship with our firm is public on our website. I therefore request that the PDC find that it would create an undue hardship for me to make this disclosure. The modified disclosure envisioned by WAC 390-28-100 serves the purposes of the Disclosure Act while guarding against unreasonable hardship.



4. **NOT FRUSTRATE THE PURPOSES OF THE ACT.** Describe how allowing you to not disclose the information described in your modification request does not frustrate the purposes of the Public Disclosure Act.

The modification I seek is precisely the type of modification anticipated by WAC 390-28-100. The purposes of the Act include ensuring the public's access to information about potential conflicts of interest. WAC 390-28-100 furthers this purpose by requiring disclosure of reportable business clients from whom compensation has been paid in excess of the reporting threshold in the following categories: (1) business clients for whom I have personally done legal work, (2) other clients of the law firm whose interests would be significantly affected by my actions as a Representative whose identities become known to me or of which I become aware by any means, (3) clients of the law firm who are listed on Martindale-Hubbell, the firm's website, or other promotional materials, and (4) governmental clients who have done business with the law firm. WAC 390-28-100 rests on the proposition that disclosure of clients in these categories adequately fulfills the purposes of the Act, and I have disclosed these reportable business clients in the attached Exhibit A.



5. **DUTIES.** Describe your duties as an elected or appointed official. Please describe the jurisdiction or agency for which you hold public office, and the duties performed by you as a public official (examples: adopting rules or ordinances, hiring staff, approving contracts, setting policy, etc.). Please provide as much description as possible.

<u>N/A</u>



- 6. **CUSTOMERS OR SOURCES OF COMPENSATION/INCOME.** If you are seeking a modification related to a particular entity's reportable customers or sources of compensation/income for an entity, describe:
  - In detail the position you hold in the entity (examples: owner, board member, officer, partner, etc.) and the duties performed by you for that entity, if any (examples: setting policy, hiring, approving contracts, approving budgets, etc.). Please provide as much description as possible.

<u>I am a partner with Susman Godfrey LLP; however, I am neither a managing partner</u> of the firm nor a member of the firm's Executive Committee.

• If you (or if you are seeking office, will you) make any decisions as a public official that may benefit the customers of the entity for which you are seeking a modification, or sources of compensation/income for the entity for which you are seeking a modification?

<u>No.</u> Clients whose interests could be significantly affected by my actions as a <u>Representative of whom I have become aware (if any) are disclosed in the attached Exhibit</u> <u>A, as provided in WAC 390-28-100.</u>



7.

**RESIDENTIAL ADDRESS.** Are you requesting to be exempted from disclosing the address of your personal residence in the Real Estate Section of the F-1? In this situation, you or your spouse may be a law enforcement officer, prosecutor, judge, or other official, and the disclosure of the address of your primary residence on the F-1 form could cause you or your family harm, based upon tangible evidence or a specific threat. If so, please explain in detail the manifestly unreasonable hardship if disclosure were required, and why the purposes of the act would not be frustrated if disclosure of the address was not required. If nondisclosure is based upon an anti-harassment or similar court order, please state.



8. **SPOUSAL SEPARATION.** Are you requesting to be exempted from disclosing information related to your spouse based on a pending or recent divorce or separation, or bona fide separate property agreement or other bona fide separate status? In this situation, the filer has little or no knowledge of spouse's or former spouse's income, assets, liabilities or relationship to outside entities for which reporting may be required. (For example, do you file separate income tax returns?) The filer does not have access to spouse's or former spouse's financial information. The financial interest of the spouse or former spouse does not constitute a present or prospective source of income for the filer. If this is your situation, please describe.

<u>N/A</u>



9. **OTHER INFORMATION.** Is there any other information you want the Commission to consider regarding your modification request? (If you are attaching any information or documents, please describe attachments.)

<u>Attached as Exhibit A is the list of clients who I propose to disclose pursuant to this modification</u> request, following the guidelines in WAC 390-28-100.



➢ IF YOU WILL NOT BE ATTENDING THE HEARING IN PERSON OR BY PHONE TO ATTEST THE ABOVE INFORMATION AND RESPOND TO COMMISSION QUESTIONS, YOU MUST ALSO COMPLETE AND SIGN THE ATTACHED CERTIFICATION PRIOR TO SUBMISSION.

## <u>Certification for an Application for a</u> <u>Reporting Modification or Suspension</u> <u>When Applicant Is Waiving Personal Appearance</u> <u>At the Hearing</u> (Notary Not Required)

I am waiving my personal appearance at the hearing on my request for a reporting modification or suspension, and request the Commission to consider my written application. I certify (or declare) under penalty of perjury under the laws of the State of Washington that the facts set forth in the attached application for a reporting modification are true and accurate to the best of my actual knowledge or belief.

List the date of the application request: February 15, 2016

Entity or name of individual requesting reporting modification: Daniel J Shih

Your signature:	Da Mul

Your printed name: Daniel J. Shih

Business street address: 1201 Third Avenue, Suite 3800

City, state and zip code: Seattle, WA 98101

Telephone number: (206) 319-2800

E-Mail Address: me@danshih.com

Date Signed: 2/15/2016

Place Signed (City and County):

<u>Seattle</u> City <u>King</u> County

\*RCW 9A.72.040 provides that: "(1) A person is guilty of false swearing if he makes a false statement, which he knows to be false, under an oath required or authorized by law. (2) False swearing is a gross misdemeanor."

IF YOU FAX OR SCAN AND SEND A COPY OF THIS SIGNED CERTIFICATION VIA E-MAIL TO THE PDC WITH YOUR MODIFICATION REQUEST, THE ORIGINAL MUST STILL BE PROVIDED. RETURN THE ORIGINAL OF THIS CERTIFICATION TO:

WASHINGTON STATE PUBLIC DISCLOSURE COMMISSION 711 Capitol Way Room 206 P.O. Box 40908 Olympia, WA 98504-0908 Attn: Reporting Modification Request

PUBLIC Refer to ins Deadlines:	711 CAPITOL W PO BOX 40908 OLYMPIA WA 9 (360) 753-1111 TOLL FREE 1-83 struction manual for detailed ass	IAY RM 206 8504-0908 77-601-2828 istance and examples pointed officials b vithin two weeks of	y April 15. becoming a		RS STA AM \$1 tc \$4,5 \$24,1	NANCIAL TEMENT IOUNT 0 \$4,499 00 to \$23,999 000 to \$47,999 000 to \$119,995		PDC OFFICE USE
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3	ASSETS / INVESTMENTS - INTEREST / DIVIDENDS	intangit	nk and savings account le property (including bi g period.				
A.	Name and address of each bank or financial institution in which a family member, including registered domestic partner, ha account over \$24,000 any time during the report period.	n you, T ad an	pe of Account or Descripti	on of Asset	Asset Value (Use Code)		e Amount e Code)
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В.	Name and address of each insurance company where you, a f member, including registered domestic partner, had a policy v cash or loan value over \$24,000 during the period.	amily vith a					
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C.	Name and address of each company, association, govern agency, etc. in which you, a family member, including regis domestic partner, owned or had a financial interest worth \$2,400. Include stocks, bonds, ownership, retirement plan, notes, stock options, and other intangible property. If you, spouse, registered domestic partner and/or dependents had dec making authority regarding individual assets/investments list asset or investment, the value and any income amount. EXAM if you self-directed an investment account identify each stoc other asset in that account.	tered over IRA, your ision each PLE:					
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exec Incu	All filers answer questions A thru D below. If the answer is of this report. If all answers are NO and you are a candidate surve officer filing your initial report, no F-1 Supplement is re- mbent elected officials and state executive officers filing an a quired of these officeholders unless all answers to questions	for state o quired. annual fin	r local office, an appoint ancial affairs report also	ee to a vacar	t elective offic	ce, or a sta	ite
Α.	At any time during the reporting period were you, your spouse, registered of corporation, company, union, association, joint venture or other entity or (2	omestic par ) a partner o	iner or dependents (1) an office r member of any limited partne	rship, limited lia	bility partnership,		ty
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ALL	FILERS EXCEPT CANDIDATES. Check the appropriate box.		CERTIFICATION:				
	hold a state elected office, am an executive state officer or profe have read and am familiar with RCW 42.52.180 regarding the resources in campaigns.		aff.   / / / s	friect to the	ontained in this best of my kno	wiedge. 2/15/20 Date	
	hold a local elected office. I have read and am familiar with RCV egarding the use of public facilities in campaigns.	V 42.17A.5	55 Contact Telephone:	• •			
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REPORT NOT ACCEPTABLE WITHOUT FILER'S SIGNATURE

# ATTACHMENT TO PDC FORM F-1 NAME: Shih, Daniel J.

#### 2 – REAL ESTATE

All Other Property Entirely or Partially Owned	Assessed Value (Use Code)	Creditor's Name/Address	Payment Terms	Security Given	Mortgage Amount – Original/Current (Use Code)
King County Assessor Parcel 735620-0160-07	E	No creditor			
King County Assessor Parcel 253883-1010-03	E	No creditor			
King County Assessor Parcel 609450-0010-01	E	No creditor			

#### 3 – ASSETS/INVESTMENTS – INTEREST/DIVIDENDS

#### A – Bank or Financial Institution

Name and address	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount (Use Code)
Ally Bank	Savings	E	A
P.O. Box 951			
Horsham, PA 19044			
American Express Bank	Savings	C	A
P.O. Box 30384			
Salt Lake City, UT 84130			
Chase	Checking	E	A
P.O. Box 659749	Savings	E	A
San Antonio, TX 78265	Savings	C	Α
Citibank	Checking	D	A
P.O. Box 769007	Savings	D	A
San Antonio, TX 78245			

#### **B** – Insurance

Name and address	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount (Use Code)
Pruco Life Insurance Company 213 Washington Street Newark, NJ 07102	Life insurance	E	none

#### C – Other Investments

Name and address	Type of	Asset Value	Income
	Account or	(Use Code)	Amount
	Description of		(Use Code)
	Asset		
AvalonBay Communities	Stock	E	В
Berkshire Hathaway Class B	Stock	E	C
Boston Properties	Stock	E	C
Equity Resident Properties	Stock	E	Α
Plum Creek Timber	Stock	В	A
Schwab Emerging Market Equity ETF	ETF	E	В
Schwab Int'l Equity ETF	ETF	E	В
Schwab Int'l Small Cap Equity ETF	ETF	E	В
Schwab S&P 500 Index	Mutual fund	E	В
Schwab U.S. Aggregate Bond ETF	ETF	E	В
Schwab U.S. Broad Market ETF	ETF	E	В
Simon Property Group	Stock	E	В
SPDR Basic Industries	ETF	E	В
Vanguard Aggressive Growth Portfolio	509 fund	D	A
Vanguard Inflation-Protected Securities	Mutual fund	C	A
Vanguard Inflation-Protected Securities	Mutual fund	E	В
Admiral			
Vanguard PRIMECAP Fund Admiral	Mutual fund	E	D
Vanguard REIT Index Admiral	Mutual fund	E	В
Vanguard Total Int'l Stock Index Admiral	Mutual fund	E	D
Vanguard Total Stock Market Index Admiral	Mutual fund	E	D
Vanguard Wellington Admiral	Mutual fund	E	D
WP Glimcher	Stock	В	Α

·····							
PUBLIC DISCLOS	SURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828 EMAIL: pdc@pdc.wa.gov	PDC FORM <b>F-1</b> SUPPLEMENT (1/15)		LEMENT PAGE ANCIAL AFFAIRS STATEMENT			
PROVIDE INFORMATIO YOUR HOUSEHOLD	PROVIDE INFORMATION FOR YOURSELF, SPOUSE, REGISTERED DOMESTIC PARTNER, DEPENDENT CHILDREN AND OTHER DEPENDENTS IN YOUR HOUSEHOLD						
Last Name Shih, Daniel J.	First	Middle Initial		DATE 2/15/2016			
<ul> <li>A OFFICE HELD, BUSINESS INTERESTS:</li> <li>Provide the following information If, during the reporting period, you, your spouse, registered domestic partner or dependents</li> <li>(1) were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit organization, union, partnership, joint venture or other entity; and/or</li> <li>(2) were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited itability company.</li> <li>Legal Name: Report name used on legal documents establishing the entity.</li> <li>Trade or Operating Name: Report name used for business purposes if different from the legal name.</li> <li>Position or Percent of Ownership: The office, title and/or percent of ownership held.</li> <li>Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.</li> <li>Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.</li> <li>Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$12,000 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation.</li> </ul>							
	Washington Real Estate: Identify real estate owner						
ENTITY NO. 1		Rep	porting For: Self 🗹	Spouse			
			Registered Domesti	c Partner 🔲 Dependent 🗌			
LEGAL NAME: SUSM	an Godfrey L.L.P.		POSITION OR PER	RCENT OF OWNERSHIP			
TRADE OR OPERATING	NAME:		Pai	tner, 0.40%			
ADDRESS: 1000 Lo	ouisiana Street #5100, Houston, TX	77002-5096					
BRIEF DESCRIPTION OF	THE BUSINESS/ORGANIZATION:						
	PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE: Purpose of payments \$ N/A						
	EIVED FROM OTHER GOVERNMENT AGENCIES O	DF \$12,000 OR MOF		of payment (amount not required)			
See attach	ed Exhibit A		Leg	al services			
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE Customer name: Purpose of payment (amount not required) Legal services WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more							
WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% of more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel): Check here wif continued on attached sheet							

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#### ATTACHMENT TO PDC FORM F-1 SUPPLEMENT

NAME: Shih, Daniel J.

#### ENTITY 1 – EXHIBIT A

Note: I am one of over 100 attorneys at the firm, and as a result this list includes primarily other attorneys' clients for whom I have not personally done any legal work. Pursuant to disclosure requirements, this exhibit lists reportable business clients from whom compensation has been paid in excess of the reporting threshold in one or more the following categories: (1) business clients for whom I have personally done legal work, (2) other clients of the law firm whose interests would be significantly affected by my actions as a Representative whose identities become known to me or of which I become aware by any means, (3) clients of the law firm who are listed on Martindale-Hubbell, the firm's website, or other promotional materials, and (4) governmental clients who have done business with the law firm.

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Ace Insurance Amazon.com Anadarko Petroleum Corporation Apache Corporation Arctic Slope Regional Corporation Bass. Edward P. Berck, Jonathan S. Blucora, Inc. Bracewell & Giuliani LLP Cambridge Major Laboratories Canrig Drilling Technology Ltd. Cavalry Portfolio Services, LLC Chevron Churchill Downs Inc. Circuit City Stores, Inc. City of Highland Park, TX City of Houston City of Seattle **CNA** Insurance CoinLab Inc. Cresta Technology Corporation DataOuill Ltd. David M. Kester DDR Holdings, LLC Dig Tech, Inc. Dillard's Encana Oil & Gas (USA) Eni US Operating Co., Inc. EP Energy E&P Company, L.P. Estate of Charles J. Wyly. Jr. First Presbyterian Church of Houston

Forest Oil Corporation General Electric Capital Corporation Genworth Financial, Inc. Hazelrigg, T.R. IV Trover Solutions Inc. d/b/a Healthcare Recoveries Hess Corporation Holley, Ronald R. Huntleigh USA Corp. InfoSpace LLC Intellectual Ventures IntercontinentalExchange, Inc. **IQ Products Company** Joe Brand, Inc. Kaiser Foundation Health Plan, Inc. KBR. Inc. Kosmos Energy, Ltd. L&M Arts Lehman Brothers Europe Ltd. Lehman Brothers International (Europe) Lockton Companies, LLC LyondellBasell Industries Macquarie Bank Limited McCourt, Frank Mewbourne Oil Company Molina, Carlos J. Morris & Dickson Co., LLC Moyes, Jerry NASDAQ OMX Group, Inc. Oak Hill Capital Partners, LP **Olympia Minerals LLC** OppenheimerFunds, Inc. Personalized Media Communications LLC PersonalWeb Technologies LLC Platt, Ileana D. Poly-America, L.P. Quanta Services Inc. Rockstar Consortium US LP Selling Source LLC Semiconductor Manufacturing International Sensata Technologies, Inc. Singing River Health System Soverain Software LLC Targa Resources Partners, LP TGS NOPEC Geophysical Company, et al The Rawlings Company LLC Third Point LLC

Thornburg Mortgage, Inc. T-Mobile USA, Inc. TPG Capital, L.P. Two-Way Media, LLC Virgin America Vitol, Inc. Wal-Mart Stores, Inc. Whistler Energy II LLC Winthrop Resources Corporation Zillow, Inc. ,

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## Page 2

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F-1	Supplement
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Name Daniel J. S	Shih		۲			
ENTITY NO. 2 Reporting For: Self 🗹 Spouse 🗔						
		Registere	d Domestic Partner 🔲 D	ependent		
LEGAL NAME: Cres	stwood Partners LLC	POSITIO	N OR PERCENT OF OWN	ERSHIP		
TRADE OR OPERATING	NAME:		Member, 35.625	%		
ADDRESS: 8	626 NE 24th Street					
	lyde Hill, WA 98004-242	7				
BRIEF DESCRIPTION OF	THE BUSINESS/ORGANIZATION:	Investment entity				
PAYMENTS ENTITY REC	EIVED FROM GOVERNMENTAL UN	IT IN WHICH YOU SEEK/HOLD OFFICE:				
Purpos	se of payments		Amount (actual dollars)			
			\$ N/A			
	EIVED FROM OTHER GOVERNMEN	T AGENCIES OF \$12,000 OR MORE:	Purpose of payment (amou	Int not required)		
			N/A			
DAVMENTS ENTITY REC	EIVED FROM BUSINESS CUSTOME					
	mer name:		Purpose of payment (amo	unt not required)		
			N/A			
		RECT FINANCIAL INTEREST (Complete only				
and assessed value of prop	berty is over \$24,000. List street addre	ess, assessor parcel number, or legal description	on and county for each par			
F						
Check here if continued on a	ttached sheet					
B LOBBYING:	prepared state legislation or state	any immediate family member, including e rules, rates, or standards for compensation ich you are an elected official or profession	on or deferred compensation			
Person to Wh	om Services Rendered	Description of Legislation, Rules, Etc.	Compensation	(Use Code)		
Check here 🗌 if continued on a						
C FOOD TRAVEL	portion of the following items to	e other than your own governmental agence o you, your spouse, registered domestic p	artner or dependents, o	r a combination		
SEMINARS SEMINARS 20-020A; 2) Travel occasion; or 3) Seminars, educational programs or other training.						
Date Donor's Received	Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)		
1			\$			
-			L.			
I Check here I if continued on a	ttached sheet					

F-1 Supplement	nt
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Name Daniel J. Shih			
ENTITY NO. 3	Reporting F	or: Self 🗹 Spouse 🔲	
	Registe	ered Domestic Partner 🔲 [	Dependent
LEGAL NAME: Monkey Ventures, LLC	POSIT	ION OR PERCENT OF OWN	IERSHIP
TRADE OR OPERATING NAME:		Member, 0.86%	
ADDRESS: 900 Third Avenue Suite 502			
New York, NY 10022			
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:	In a share of earth.		
	Investment entity		
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UN	NIT IN WHICH YOU SEEK/HOLD OFFICE:		
Purpose of payments		Amount (actual dollars)	
		<sup>\$</sup> N/A	
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMEN Agency name:	NT AGENCIES OF\$12,000 OR MORE:	Purpose of payment (amo	unt not required)
		N/A	
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOME	ERS OF \$12,000 OR MORE		
Customer name:		Purpose of payment (amo	unt not required)
		N/A	
B LOBBYING: (Continued)			
Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation	(Use Code)
C FOOD TRAVEL SEMINARS (continued)	1	I	
Date Donor's Name, City and State Received	Brief Description	Actual Dollar Amount	Value (Use Code)
		\$	

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# F-1 Supplement

Name Daniel J. Shih			
ENTITY NO. 4	Reporting For:	Self M Spouse	
	Registere	d Domestic Partner 🔲 D	ependent
LEGAL NAME: BCIP Associates II-B		N OR PERCENT OF OWN	
TRADE OR OPERATING NAME:	F	artner, 0.46%	
ADDRESS: 200 Clarendon Street, Floor 41	•		
Boston, MA 02116-5016			
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:	lassa atau anti antitu		
	Investment entity		
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNI		A	
Purpose of payments		Amount (actual dollars)	
		<sup>\$</sup> N/A	
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMEN Agency name:	· ·	Purpose of payment (amou	unt not required)
		N/A	
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOME			
Customer name:		Purpose of payment (amo	unt not requirea)
		N/A	
B LOBBYING: (Continued)			
Person to Whom Services Rendered	Description of Logislation Dulos Etc.	Compensation	(Lise Code)
	Description of Legislation, Rules, Etc.		
C FOOD TRAVEL SEMINARS (continued)			
Date Donor's Name, City and State Received	Brief Description	Actual Dollar Amount	Value (Use Code)
		\$	. ,
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<b>F-1</b>	Supplement
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Name Daniel J. Shih			
ENTITY NO. 5	Reporting F	or: Self 🗹 Spouse 🗔	
		ered Domestic Partner	Dependent
LEGAL NAME: American Civil Liberties Union o	f Washington Positi	ON OR PERCENT OF OWN	IERSHIP
TRADE OR OPERATING NAME:		Board member,	
ADDRESS: 901 Fifth Avenue, Suite 630		secretary-treasure	•
Seattle, WA 98164		<b>,</b>	
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:	Non-profit defending civil libe	rties and civil rights	
	Non-profit detending own libe	and oral ngrits	
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UN Purpose of payments	IT IN WHICH YOU SEEK/HOLD OFFICE:	Amount (actual doilars)	
i upose oi payments		<b>A</b>	
		<sup>\$</sup> N/A	
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMEN Agency name:	T AGENCIES OF\$12,000 OR MORE:	Purpose of payment (amo	unt not required)
		N/A	
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOME Customer name:	RS OF \$12,000 OR MORE	Purpose of payment (amo	upt not required)
Customer name.			unit not required)
WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DI		N/A	
<b>B</b> LOBBYING: (Continued)			
Person to Whom Services Rendered	Description of Legislation Dates The	Componention	(llas Cada)
Person to whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation	(Use Code)
C FOOD TRAVEL SEMINARS (continued)		I	
SEMINARS         (continued)           Date         Donor's Name, City and State	Brief Description	Actual Dollar	Value
Received		Amount	(Use Code)
		\$	
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# F-1 Supplement

Name Daniel J. Shih	· · · · · · · · · · · · · · · · · · ·		
ENTITY NO. 6	Reporting Fo	or: Self 🗹 Spouse 🗌	
	Registe	red Domestic Partner 🔲 I	Dependent 🔲
LEGAL NAME: API Chaya	POSITI	ON OR PERCENT OF OW	NERSHIP
TRADE OR OPERATING NAME:		Board member / tr	easurer
ADDRESS: PO Box 14047			
Seattle, WA 98114			
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION: Non-profit se	erving survivors of domestic viol	ence, sexual assau	ult,
and human to	rafficking		
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UN Purpose of payments	NIT IN WHICH YOU SEEK/HOLD OFFICE:	Amount (actual dollars)	
N/A (no payments from State Legislatu	re)	<sup>\$</sup> N/A	
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMEI Agency name:	NT AGENCIES OF\$12,000 OR MORE:	Purpose of payment (amo	unt not required)
See attachment			
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOM	ERS OF \$12,000 OR MORE		
Customer name:		Purpose of payment (amo	ount not required)
		N/A	
<b>R</b> LOBBYING: (Continued)			
Person to Whom Services Rendered	Description of Logislation Dates Fig.	Componention	(lles Ords)
	Description of Legislation, Rules, Etc.	Compensation	(Use Code)
C FOOD TRAVEL SEMINARS (continued)			
Date Donor's Name, City and State Received	Brief Description	Actual Dollar Amount	Value (Use Code)
		\$	

#### ATTACHMENT TO PDC FORM F-1 SUPPLEMENT NAME: Shih, Daniel J.

#### **DISCLOSURE FOR ENTITY NO. 6 – API CHAYA**

#### PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE

Agency name	Purpose of payment (amount not required)
State of Washington, Office	Advocacy and services for survivors of domestic violence,
of Crime Victims Advocacy	sexual assault, and other crimes and community organizing and education in marginalized communities
State of Washington,	Specialized services to victims of domestic violence from
Department of Social and	marginalized populations
Health Services	
City of Seattle, Domestic	Advocacy for domestic violence survivors
Violence Community	
Advocacy Program	
City of Seattle, Access to	Phone-based access to advocacy for domestic violence survivors
Advocacy Program	and coordination of bilingual/bicultural services.
King County, Community	Supportive services, training and outreach to persons
and Human Services	experiencing or at risk of domestic violence and dating violence
Japanese Consulate of Seattle	Assistance to survivors of domestic violence, sexual assault, and
	human trafficking, including Japanese nationals

Information Continued	F-1 Supplement
Name Daniel J. Shih	
ENTITY NO. 7	Reporting For: Self 🗹 Spouse 🔲
	Registered Domestic Partner 🔲 Dependent 🗌
LEGAL NAME: QLaw Association of Washington	DN POSITION OR PERCENT OF OWNERSHIP
TRADE OR OPERATING NAME:	Board member
ADDRESS: PO Box 1991	Dourd member
Seattle, WA 98111-1991	
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:	
	Non-profit association of LGBT legal professionals
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UN Purpose of payments	NT IN WHICH YOU SEEK/HOLD OFFICE: Amount (actual dollars)
	\$ N/A
	NA
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMEN Agency name:	Purpose of payment (amount not required)
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOME	
Customer name:	Purpose of payment (amount not required)
	N/A
WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DI and assessed value of property is over \$24,000. List street addr	IRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more ress, assessor parcel number, or legal description and county for each parcel):
B LOBBYING: (Continued)	
Person to Whom Services Rendered	Description of Legislation, Rules, Etc. Compensation (Use Code)

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С	FOOD TRAVEL SEMINARS (continued)			
Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)

Name		1 Supplemen	
Daniel J. Shih			
ENTITY NO. 8	Reporting Fo	or: Self 🗹 Spouse 🔲	
	Registe	red Domestic Partner 🔲	Dependent
EGAL NAME: Roanoke Reef Houseboat O			
RADE OR OPERATING NAME:			
DDRESS: 10 East Roanoke Street		Board member	
Seattle, WA 98102			
RIEF DESCRIPTION OF THE BUSINESS/ORGANIZATIO	DN:		
	Homeowners association		
AYMENTS ENTITY RECEIVED FROM GOVERNMENTAL Purpose of payments	. UNIT IN WHICH YOU SEEK/HOLD OFFICE:	Amount (actual dollars)	
		<sup>\$</sup> N/A	
AYMENTS ENTITY RECEIVED FROM OTHER GOVERN	MENT AGENCIES OF\$12.000 OR MORE:		
Agency name:	······································	Purpose of payment (amo	ount not required)
		N/A	
AYMENTS ENTITY RECEIVED FROM BUSINESS CUST	DMERS OF \$12,000 OR MORE	19/74	
Customer name:		Purpose of payment (amo	ount not required)
		N/A	
	1		
B LOBBYING: (Continued)			
<b>B</b> LOBBYING: (Continued) Person to Whom Services Rendered	, Description of Legislation, Rules, Etc.	Compensation	(Use Code)
		Compensation	(Use Code)
Person to Whom Services Rendered		Compensation	(Use Code)
FOOD TRAVEL SEMINARS (continued)	Description of Legislation, Rules, Etc.		
Person to Whom Services Rendered		Compensation	(Use Code) Value (Use Code)
Person to Whom Services Rendered         FOOD         TRAVEL         SEMINARS       (continued)         Date       Donor's Name, City and State	Description of Legislation, Rules, Etc.	Actual Dollar Amount	Value
Person to Whom Services Rendered         FOOD         TRAVEL         SEMINARS       (continued)         Date       Donor's Name, City and State	Description of Legislation, Rules, Etc.	Actual Dollar	Value
Person to Whom Services Rendered FOOD TRAVEL SEMINARS (continued) Date Donor's Name, City and State	Description of Legislation, Rules, Etc.	Actual Dollar Amount	Value
FOOD TRAVEL SEMINARS (continued) Date Donor's Name, City and State	Description of Legislation, Rules, Etc.	Actual Dollar Amount	Value
Person to Whom Services Rendered FOOD TRAVEL SEMINARS (continued) Date Donor's Name, City and State	Description of Legislation, Rules, Etc.	Actual Dollar Amount	Value

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