-Chapter 390-19 WAC: ELECTRONIC FILING

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WAC 390-19-010 Intent of electronic filing. (1) The public disclosure commission (PDC) was created and empowered by initiative of the people to provide timely and meaningful public access to information about the financing of political campaigns, lobbyist expenditures, and the financial affairs of public officials and candidates, and to insure compliance with contribution limits and other campaign finance restrictions.

(2) Full and prompt access to the political finance data filed by persons subject to the law is best realized through wide-spread use of electronic filing alternatives. The Washington state legislature has mandated that certain filers submit their PDC reports electronically. The <u>PDC makes available to all can-</u> <u>didates, public officials, lobbyists, lobbyist employers, and political committees that are required to file</u> <u>reports under this chapter electronic filing alternatives for submitting reports, and</u> encourages all persons required to report under the disclosure law to utilize the electronic filing alternatives provided by the PDC.

[Statutory Authority: RCW 42.17.370. WSR 01-22-052, § 390-19-010, filed 10/31/01, effective 1/1/02.]

WAC 390-19-020 Electronic filing—Mandatory filing. (1) RCW 42.17A.245 mandates that persons satisfying meeting the qualifying criteria in that section file all contribution and expenditure reports by electronic means.

(2) Persons filing by electronic means shall register with the PDC and receive a filer identification number and password. Filers must have a current C-1 Candidate Registration Statement or a C-1pc Committee Registration Statement on file with the PDC prior to receiving a filer identification number.

(3) A filer subject to RCW 42.17A.245 shall file all PDC C-3 and C-4 reports and all appropriate schedules electronically in compliance with subsection (5) of this section.

(4) Any filer required to file electronically, but who files on paper, is in violation of RCW 42.17A.245 and may be subject to enforcement action unless the filer is a candidate who has sought and been granted an exception from electronic filing under WAC 390-19-050.

(5) A filer subject to electronic filing shall file reports using one of the following:

(a) The ORCA software (Online Reporting of Campaign Activity) provided free-of-charge by the PDC; or

(b) Any other electronic filing application provided or approved by the PDC.

[Statutory Authority: RCW 42.17A.110 and 2015 c 72. WSR 16-04-027, § 390-19-020, filed 1/25/16, effective 2/25/16. Statutory Authority: RCW 42.17A.110. WSR 12-03-002, § 390-19-020, filed 1/4/12, effective 2/4/12. Statutory Authority: RCW 42.17.370(1). WSR 10-04-058, § 390-19-020, filed 1/29/10, effective 3/1/10. Statutory Authority: RCW 42.17.370. WSR 09-02-021, § 390-19-020, filed 12/30/08, effective 1/30/09; WSR 01-22-052, § 390-19-020, filed 10/31/01, effective 1/1/02.]

<u>New Section WAC 390-19-045 Electronic filing system—Inoperable.</u>

(1) For the purpose of RCW 42.17A, "electronic filing system" means the specific PDC--provided application or functionality necessary to file a specific report and does not include software provided by third parties;

(2) For the purpose of RCW 42.17A, "inoperable" means the electronic filing system used by the filer is unable to prepare or receive the required report except as provided in subsection (3) of this section;
(3) The electronic filing system is not considered inoperable during regular maintenance periods lasting less than thirty minutes between the hours of 11:00 PM and 5:00 AM Pacific Time or unscheduled events lasting less than fifteen minutes in any 24--hour period;

(4) The PDC will provide notification for all periods of inoperability on its website and will provide an option for individuals to also be notified by electronic notification upon request.

WAC 390-19-050 Electronic filing—Exceptions. (1) The <u>PDC</u>commission may make exceptions on a case-by-case basis for candidates whose authorized committees lack the technological ability to file reports electronically. (2) A candidate seeking an exception under RCW 42.17A.245 shall file with the PDC a written statement of reasons why the authorized committee lacks the ability to file reports electronically.
[Statutory Authority: RCW 42.17A.110. WSR 12-03-002, § 390-19-050, filed 1/4/12, effective 2/4/12. Statutory Authority: RCW 42.17.370. WSR 01-22-052, § 390-19-050, filed 10/31/01, effective 1/1/02.]